

Express Mail Label No. EJ69537 527US

Date of Deposit: November 19, 1999



PATENT APPLICATION  
Attorney Docket No.: 19705-001 (AMC-1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Grasso, *et al.*  
ASSIGNEE : Albany Medical College  
SERIAL NUMBER : .09/377,081 EXAMINER : Not yet assigned  
FILING DATE : August 19, 1999 ART UNIT : 1646  
FOR : LEPTIN-RELATED PEPTIDES

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith in response to the September 21, 1999, Notice to File Missing Parts in the present application are the following documents:

- ☒ copy of Notice to File Missing Parts of Application form (1 page);
- ☒ Combined Declaration and Power of Attorney, executed by Grasso, Lee and Leinung (3 pages);
- ☒ Statement Claiming Small Entity Status (2 pages);
- ☒ Check for \$65.00; and
- ☒ Return Postcard.

Applicants believe the Response to Notice to File Missing Parts is due on or before November 22, 1999, with no extensions. The enclosed checks are to cover the \$65.00 surcharge for filing Missing Parts. Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 19705-001 (AMC-1). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

Kristin E. Konzak, Reg. No. 44,848

Ivor R. Elrifi, Reg. No. 39,529

Attorneys for Applicants

c/o MINTZ, LEVIN

One Financial Center

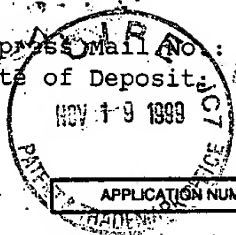
Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

Sector

Express Mail No.: EJ695371527US  
Date of Deposit: 11/19/99



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/377,081 08/19/99 GRASSO

19705-001-(A)

0212/0921

IVOR R. ELRIFI  
MINTZ LEVIN COHN FERRIS GLOVSKY AND  
POPEO P C  
ONE FINANCIAL CENTER  
BOSTON MA 02111

NOT ASSIGNED

DATE MAILED: 1646

09/21/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 4654.00

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☒ insufficient.

Applicant must submit \$ 380.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 1483 for \_\_\_\_\_ total claims over 20.

\$ 444 for \_\_\_\_\_ independent claims over 3.

\$ 460 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☒ 9. OTHER: Small Entity Statement Missing

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Patent Examination Division (703) 205-1000

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